

UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 1 of 1

PATENT NO. : 7113958

APPLICATION NO.: 10/602802

ISSUE DATE : 9/26/2006

INVENTOR(S) : David B. Lantrip, et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Title Page

(75) Inventors Please add —Jeremy C. York— as last named inventor after line 6.

MAILING ADDRESS OF SENDER (Please do not use customer number below):

Battelle Memorial Institute
P.O. Box 999, M/S K1-53
Richland, WA 99352

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David B. Lantrip, *et al.*

Group/Art Unit: 2165

Application No.: 10/602,802

Examiner: Diane D. Mizrahi

Filed: 06/24/2003

Attorney Docket No: E-1505 CON4

Title: THREE-DIMENSIONAL DISPLAY
OF DOCUMENT SET

REQUEST FOR CERTIFICATE OF CORRECTION TO CORRECT INVENTORSHIP

To: Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Commissioner:

Applicants hereby request a Certificate of Correction to correct the inventorship of the above-referenced application according to MPEP 1412.04(I). The requested correction includes adding Jeremy York to the list of inventors. All parties are in agreement (see attached Statements from the actual inventors, Lantrip, Pottier, Schur, Thomas, Wise, and York), and the inventorship issue is not contested. The mistaken inventorship was of a clerical nature and does not involve new matter nor does it require reexamination. Battelle Memorial Institute hereby gives consent to such correction as assignee of the entire right, title, and interest in the application. A statement under 37 CFR 3.73(b) is attached herewith. Also included is the fee of \$130 believed to be due under 37 CFR 1.20(b).

If additional fees are required for consideration of this request, the Commissioner is hereby granted permission to deduct those fees from Deposit Account 02-1275.

Examiner Diane Mizrahi
Serial # 10/602,802

DATED this 6th day of February, 2009.

Very respectfully,

/Allan C. Tuan/

Allan C. Tuan, Reg. No. 55.689

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Lantrip, Pennock, Pottier, Schur, Thomas, Wise, York
Filed : 6/24/2003
Serial No. : 10/602,802
For : THREE-DIMENSIONAL DISPLAY OF DOCUMENT SET
Customer No. : 10036

December 12, 2008

PETITION FOR CORRECTION OF INVENTORSHIP
DECLARATION OF DAVID B. LANTRIP

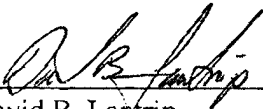
I, David B. Lantrip, declare that:

1. I was and am at work on various projects at Battelle Memorial Institute, (BMI) Pacific Northwest Division, and was and am obligated to assign any inventions resultant from that work to BMI, and am a named inventor of the invention described and claimed in the above referenced application.

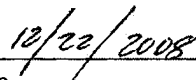
2. While at Battelle, I worked with several individuals on development of tools for text data visualization. During the course of the work, an invention was made and I was named as a co-inventor in an inventions disclosure. The invention disclosure was submitted to the Intellectual Property Services Department.

3. I have reviewed the claims of U.S. 7,113,958, which resulted from the above-identified invention disclosure, and believe that the correct inventive entity for these claims is: David B. Lantrip, Kelly A. Pennock, Marc C. Pottier, Anne Schur, James J. Thomas, James A. Wise, and Jeremy York, and agree to such change.

4. I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code, and that willful false statements may jeopardize the validity of the application or any patent issued thereon.



David B. Lamtrip



Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Lantrip, Pennock, Pottier, Schur, Thomas, Wise, York
Filed : 6/24/2003
Serial No. : 10/602,802
For : THREE-DIMENSIONAL DISPLAY OF DOCUMENT SET
Customer No. : 10036

PETITION FOR CORRECTION OF INVENTORSHIP
DECLARATION OF KELLY A. PENNOCK

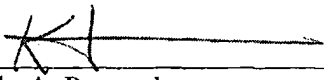
I, Kelly A. Pennock, declare that:

1. I was at work on various projects at Battelle Memorial Institute, (BMI) Pacific Northwest Division, and was and am obligated to assign any inventions resultant from that work to BMI, and am a named inventor of the invention described and claimed in the above referenced application.

2. While at Battelle, I worked with several individuals on development of tools for text data visualization. During the course of the work, an invention was made and I was named as a co-inventor in an inventions disclosure. The invention disclosure was submitted to the Intellectual Property Services Department.

3. I have reviewed the claims of U.S. 7,113,958, which resulted from the above-identified invention disclosure, and believe that the correct inventive entity for these claims is: David B. Lantrip, Kelly A. Pennock, Marc C. Pottier, Anne Schur, James J. Thomas, James A. Wise, and Jeremy York, and agree to such change.

4. I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code, and that willful false statements may jeopardize the validity of the application or any patent issued thereon.



Kelly A. Pennock

1-21-2009

Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Lantrip, Pennock, Pottier, Schur, Thomas, Wise, York
Filed : 6/24/2003
Serial No. : 10/602,802
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December 12, 2008

PETITION FOR CORRECTION OF INVENTORSHIP
DECLARATION OF MARC C. POTTIER

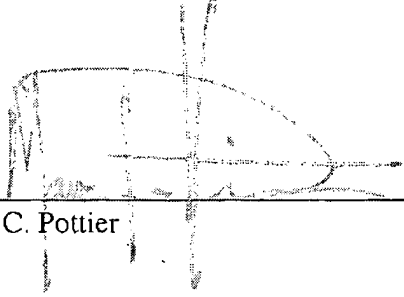
I, Marc C. Pottier, declare that:

1. I was and am at work on various projects at Battelle Memorial Institute, (BMI) Pacific Northwest Division, and was and am obligated to assign any inventions resultant from that work to BMI, and am a named inventor of the invention described and claimed in the above referenced application.

2. While at Battelle, I worked with several individuals on development of tools for text data visualization. During the course of the work, an invention was made and I was named as a co-inventor in an inventions disclosure. The invention disclosure was submitted to the Intellectual Property Services Department.

3. I have reviewed the claims of U.S. 7,113,958, which resulted from the above-identified invention disclosure, and believe that the correct inventive entity for these claims is: David B. Lantrip, Kelly A. Pennock, Marc C. Pottier, Anne Schur, James J. Thomas, James A. Wise, and Jeremy York, and agree to such change.

4. I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code, and that willful false statements may jeopardize the validity of the application or any patent issued thereon.



Marc C. Pottier



Date

1531.0450003.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Lantrip, Pennock, Pottier, Schur, Thomas, Wise, York
Filed : 6/24/2003
Serial No. : 10/602,802
For : THREE-DIMENSIONAL DISPLAY OF DOCUMENT SET
Customer No. : 10036

December 12, 2008

PETITION FOR CORRECTION OF INVENTORSHIP
DECLARATION OF ANNE SCHUR

I, Anne Schur, declare that:

1. I was and am at work on various projects at Battelle Memorial Institute, (BMI) Pacific Northwest Division, and was and am obligated to assign any inventions resultant from that work to BMI, and am a named inventor of the invention described and claimed in the above referenced application.

2. While at Battelle, I worked with several individuals on development of tools for text data visualization. During the course of the work, an invention was made and I was named as a co-inventor in an inventions disclosure. The invention disclosure was submitted to the Intellectual Property Services Department.

3. I have reviewed the claims of U.S. 7,113,958, which resulted from the above-identified invention disclosure, and believe that the correct inventive entity for these claims is: David B. Lantrip, Kelly A. Pennock, Marc C. Pottier, Anne Schur, James J. Thomas, James A. Wise, and Jeremy York, and agree to such change.

4. I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code, and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

Anne Schur
Anne Schur

January 5, 2009
Date

1531.0450003.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Lantrip, Pennock, Pottier, Schur, Thomas, Wise, York
Filed : 6/24/2003
Serial No. : 10/602,802
For : THREE-DIMENSIONAL DISPLAY OF DOCUMENT SET
Customer No. : 10036

December 12, 2008

PETITION FOR CORRECTION OF INVENTORSHIP
DECLARATION OF JAMES J. THOMAS

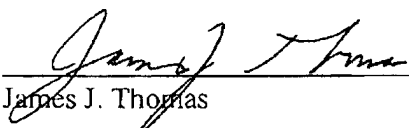
I, James J. Thomas, declare that:

1. I was and am at work on various projects at Battelle Memorial Institute, (BMI) Pacific Northwest Division, and was and am obligated to assign any inventions resultant from that work to BMI, and am a named inventor of the invention described and claimed in the above referenced application.

2. While at Battelle, I worked with several individuals on development of tools for text data visualization. During the course of the work, an invention was made and I was named as a co-inventor in an inventions disclosure. The invention disclosure was submitted to the Intellectual Property Services Department.

3. I have reviewed the claims of U.S. 7,113,958, which resulted from the above-identified invention disclosure, and believe that the correct inventive entity for these claims is: David B. Lantrip, Kelly A. Pennock, Marc C. Pottier, Anne Schur, James J. Thomas, James A. Wise, and Jeremy York, and agree to such change.

4. I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code, and that willful false statements may jeopardize the validity of the application or any patent issued thereon.


James J. Thomas

2/22/2004
Date

1531.0450003.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Lantrip, Pennock, Pottier, Schur, Thomas, Wise, York
Filed : 6/24/2003
Serial No. : 10/602,802
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December 12, 2008

PETITION FOR CORRECTION OF INVENTORSHIP
DECLARATION OF JAMES A. WISE

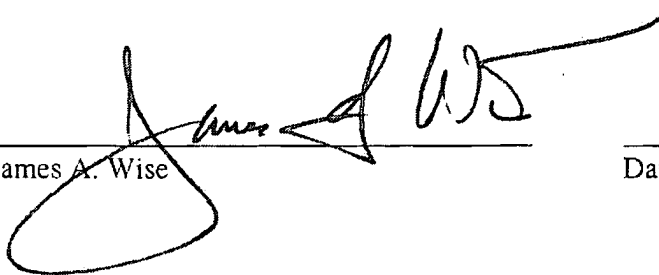
I, James A. Wise, declare that:

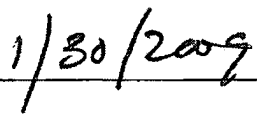
1. I was and am at work on various projects at Battelle Memorial Institute, (BMI) Pacific Northwest Division, and was and am obligated to assign any inventions resultant from that work to BMI, and am a named inventor of the invention described and claimed in the above referenced application.

2. While at Battelle, I worked with several individuals on development of tools for text data visualization. During the course of the work, an invention was made and I was named as a co-inventor in an inventions disclosure. The invention disclosure was submitted to the Intellectual Property Services Department.

3. I have reviewed the claims of U.S. 7,113,958, which resulted from the above-identified invention disclosure, and believe that the correct inventive entity for these claims is: David B. Lantrip, Kelly A. Pennock, Marc C. Pottier, Anne Schur, James J. Thomas, James A. Wise, and Jeremy York, and agree to such change.

4. I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code, and that willful false statements may jeopardize the validity of the application or any patent issued thereon.


James A. Wise


Date

1531.0450003.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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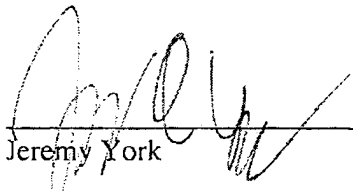
PETITION FOR CORRECTION OF INVENTORSHIP
DECLARATION OF JEREMY YORK

I, Jeremy York, declare that:

1. I was and am at work on various projects at Battelle Memorial Institute, (BMI) Pacific Northwest Division, and was and am obligated to assign any inventions resultant from that work to BMI, and am a named inventor of the invention described and claimed in the above referenced application.
2. While at Battelle, I worked with several individuals on development of tools for text data visualization. During the course of the work, an invention was made and I was named as a co-inventor in an inventions disclosure. The invention disclosure was submitted to the Intellectual Property Services Department.
3. I have reviewed the claims of U.S. 7,113,958, which resulted from the above-identified invention disclosure, and believe that the correct inventive entity for these claims is: David B. Lantrip, Kelly A. Pennock, Marc C. Pottier, Anne Schur, James J. Thomas, James A. Wise, and Jeremy York, and agree to such change.

4. The inventorship error of failing to include Jeremy York as an inventor of the patent occurred without any deceptive intention on the part of Jeremy York.

5. I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code, and that willful false statements may jeopardize the validity of the application or any patent issued thereon.



Jeremy York

12/30/2006

Date